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1 Precision bounds of pavement deterioration forecasts
2 from connected vehicles
3

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5 **Abstract**

6 Transportation agencies rely on models to predict when pavements will deteriorate to a
7 condition or ride-index threshold that triggers maintenance actions. The accuracy and precision
8 of such forecasts are directly proportional to the frequency of monitoring. Ride indices derived
9 from connected vehicle sensor data will enable transformational gains in both the accuracy and
10 precision of deterioration forecasts because of very high data volume and update rates. This
11 analysis develops theoretical precision bounds for a ride index called the road impact factor and
12 demonstrates, via a case study, its relationship with vehicle suspension parameter variances.

13 **CE Database subject headings:** Deterioration; Forecasting; Intelligent transportation systems;
14 Pavement management; Preservation; Probe instruments; Surface roughness; Vibration

15 **Author Keywords:** Connected vehicles; International Roughness Index; Ride quality; Road
16 Impact Factor

17 **1 Introduction**

18 Practitioners have long recognized that rough roads increase vehicle operating costs
19 (Zaniewski and Butler 1985) and lead to more expensive road repairs (AASHTO 2009). Studies
20 have also linked rough roads to motion sickness (Griffin 1990) and higher crash rates (Swedish

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21 National Road and Transport Research Institute 2004). The ability to accurately predict
22 optimum maintenance cycles has the greatest potential impact on reducing annual maintenance
23 and rehabilitation costs (Madanat, Prozzi and Han 2002). More frequent condition assessments
24 increase the accuracy and precision of predicting when ride-quality indices will reach
25 maintenance thresholds (Haider, Baladi and Chatti 2011). Rural regions that maintain roads
26 suffering rapid deterioration caused by high levels of industrial and agricultural activities will
27 yield the greatest potential benefits from frequent condition assessments (Tolliver and Dybing
28 2012). Unfortunately, transportation agencies can seldom afford to assess ride-quality more
29 often than once a year. Even so, those assessments are limited to portions of the National
30 Highway System for which the Federal Highway Administration (FHWA) requires annual
31 reporting of the International Roughness Index (IRI) (HPMS 2012). Consequently, agencies
32 miss important vulnerabilities such as frost-heaves that appear and disappear between monitoring
33 cycles.

34 To provide continuous, network-wide, lower-cost ride-quality measures, the author developed
35 and validated a new approach called the Road Impact Factor (RIF). The average RIF collected
36 from inertial sensors onboard vehicles is directly proportional to the IRI (Bridgelall 2014).
37 Statistically, the RIF variance diminishes exponentially as the volume of sensor readings
38 increase. Using data from inertial sensors in smartphones and connected vehicles to produce the
39 RIF will provide highly precise and continuous ride-quality assessments.

40 This study characterizes the bounds in forecast precision for common regression models in
41 terms of RIF variability. The latter is a function of motion parameter distributions such as
42 vehicle suspension rates, ground speed, and traversal volume. This is the first study to relate
43 statistics of the RIF to the precision of deterioration forecasts. Related studies use the output of

44 inertial sensors to estimate the IRI by calibrating the acceleration responses of individual
45 vehicles to known values (Nagayama, et al. 2013), or by estimating parameters of an IRI model
46 using neural networks and other methods (Dawkins, et al. 2011).

47 This paper is organized as follows: Section 2 briefly reviews the RIF model defined in
48 previous research. Section 3 relates the RIF to normalized vertical acceleration energy.
49 Section 4 links statistics of vehicle suspension parameters to the normalized vertical acceleration
50 energy and the RIF. Section 5 derives a model that relates the minimum traversal volume to a
51 level of forecast precision using a common regression model of pavement deterioration.
52 Section 6 presents a case study of the forecast precision bound for a typical distribution of
53 vehicle suspension parameters. Section 7 summarizes and concludes the study.

54 **2 Ride-Index Model**

55 As derived in previous work by the author, the RIF, denoted $R^L[p]$, is the g-force per meter
56 (g/m) experienced when traveling a road segment of length L , during time-period p where:

$$R^L[p] = \sqrt{\frac{1}{L} \int_0^{L/\bar{\sigma}} |g_z(t)\sigma(t)|^2 dt} \quad (1)$$

57 The instantaneous traversal speed is $\sigma(t)$ and the on-board sensor output for vertical acceleration
58 is $g_z(t)$.

59 **3 Statistics of Vehicle Response Energy**

60 Road roughness excites the vibration modes of a moving vehicle. The damped mass-spring
61 model for each wheel-suspension assembly or “quarter-car” includes a series combination of
62 sprung and unsprung masses that represent a portion of the body and wheel components
63 respectively. A pair of second-order differential equations characterizes each model. Their

64 solution identifies the dominant resonant frequencies and damping ratios of each mode as
 65 functions of the vehicle body mass, wheel mass, spring stiffness, and damping coefficients.
 66 These physical parameters must be known to determine the characteristics of each quarter-car
 67 mode (Angeles 2011).

68 The vertical response $z(t)$ to a common broad-band input, namely an impulse, excites all
 69 modes equally. The impulse responses of the n under-damped mass-spring systems are:

$$z_{[m,n]}(t) = \frac{U(t)}{\omega_{[m,n]}\sqrt{1-\zeta_{[m,n]}^2}} \exp(-\zeta_{[m,n]}\omega_{[m,n]}t) \sin(\omega_{[m,n]}\sqrt{1-\zeta_{[m,n]}^2}t) \quad (2)$$

70 The subscripts $m = 1$ and $m = 2$ enumerate the sprung (s) and unsprung (u) mass-spring
 71 subsystem parameters respectively. $U(t)$ is the Heaviside step function. The sprung and
 72 unsprung mass resonance frequencies are $\omega_{[s,n]}$ and $\omega_{[u,n]}$ respectively, and their corresponding
 73 damping ratios are $\zeta_{[s,n]}$ and $\zeta_{[u,n]}$. The Fourier Transform of the impulse response is a second-
 74 order low-pass filter (LPF), $Z(\omega)$, of the form:

$$Z_{[m,n]}(\omega) = \frac{1}{\sqrt{1-\zeta_{[m,n]}^2}} \frac{1}{(\omega_{[m,n]})^2 + (\zeta\omega_{[m,n]} + j\omega)^2} \quad (3)$$

75 At the sensor's location, the vertical acceleration G_α is a product of the sensor frequency
 76 response $S(f)$ and the vector sum of responses from each quarter-car. A linear combination of the
 77 mass-spring models for each wheel-assembly produces an equivalent but more analytically
 78 convenient model of the acceleration vector, G_β as illustrated in Figure 1. Hence, the magnitude
 79 spectrum of the composite vertical acceleration response is:

$$|G_\beta(f)| = |S(f)| \sum_{n=1}^W \sum_{m=1}^2 \beta_{[m,n]} \frac{1}{4\pi^2} \frac{1}{\sqrt{1-\zeta_{[m,n]}^2}} \frac{1}{\sqrt{([f_{[m,n]}^2 - f^2]^2 + [2\zeta_{[m,n]}f_{[m,n]}f]^2)}} \quad (4)$$

80 The frequencies in hertz are $f_{[m,n]} = \omega_{[m,n]}/2\pi$. W is the number of wheel-spring assemblies and

81 $\beta_{[m,n]}$ are the coefficients of the linear combination. The LPF filter gains are:

$$A_{[m,n]} = \frac{1}{4\pi^2 \sqrt{(1 - \zeta_{[m,n]}^2)}} \quad (5)$$

82 Solving for the sprung and unsprung mass filter coefficients $\beta_{[s,n]}$ and $\beta_{[u,n]}$ respectively such that

83 the energy of G_β equals the energy of G_α yields:

$$\beta_{[s,n]} = \frac{A_{[s,n]}A_{[u,n]}}{A_{[s,n]} + \rho_{[n]}A_{[u,n]}} = \frac{1}{4\pi^2 [\rho_{[n]} \sqrt{(1 - \zeta_{[s,n]}^2)} + \sqrt{(1 - \zeta_{[u,n]}^2)}]} \quad (6)$$

84 and

$$\beta_{[u,n]} = \frac{A_{[s,n]}A_{[u,n]}}{A_{[s,n]} \frac{1}{\rho_{[n]}} + A_{[u,n]}} = \frac{1}{4\pi^2 \left[\sqrt{(1 - \zeta_{[s,n]}^2)} + \frac{1}{\rho_{[n]}} \sqrt{(1 - \zeta_{[u,n]}^2)} \right]} \quad (7)$$

85 where the ratios:

$$\rho_{[n]} = \frac{\beta_{[u,n]}}{\beta_{[s,n]}} \quad (8)$$

86 depends on the vehicle design and sensor installation. Figure 2 plots the Discrete Fourier

87 Transform (DFT) of the vertical acceleration signal samples $\{g_z\}$ obtained from a passenger car

88 used in related studies. A least squares fit of the quarter-car model in Equation (4) with $W = 1$

89 provided a ratio of $\rho = 2.4$. The sprung and unsprung mass resonant modes for each quarter-car

90 are observable near 1.5 and 11 hertz respectively. In related work pending publication by the

91 author, a ratio of $\rho = 4.0$ was observable less than 5% of the time from hundreds of traversals of

92 the same road segment, using several types of passenger vehicles.

93 The suspension parameters from vehicles traversing a road segment will result in a statistical
 94 distribution of impulse responses with vertical acceleration energy:

$$E_{[m,n]} = \int_0^{\infty} |g_{[m,n]}(t)|^2 dt = \int_0^{\infty} \left| \frac{d^2}{dt^2} z_{[m,n]}(t) \right|^2 dt = \omega_{[m,n]} \left(\zeta_{[m,n]} + \frac{1}{4\zeta_{[m,n]}} \right) \quad (9)$$

95 where $g_{[m,n]}$ are the vertical accelerations from the individual mass-spring impulse responses.

96 The vertical acceleration vector at the sensor's position is:

$$g_z(t) = \sum_{n=1}^W \sum_{m=1}^2 \beta_{[m,n]} g_{[m,n]}(t) \quad (10)$$

97 Therefore, the corresponding acceleration energy E_{gz} is:

$$E_{gz} = \sum_{n=1}^W \sum_{m=1}^2 \int_0^{\infty} |\beta_{[m,n]} g_{[m,n]}(t)|^2 dt = \sum_{n=1}^W \sum_{m=1}^2 \beta_{[m,n]}^2 \omega_{[m,n]} \left(\zeta_{[m,n]} + \frac{1}{4\zeta_{[m,n]}} \right) \quad (11)$$

98 From the theory of error propagation (Ku 1966), the acceleration energy variance is:

$$vE_{gz} = \sum_{n=1}^W \sum_{m=1}^2 \left[\left(\frac{\partial E_{gz}}{\partial \omega_{[m,n]}} \right)^2 s_{\omega_{[m,n]}}^2 + \left(\frac{\partial E_{gz}}{\partial \zeta_{[m,n]}} \right)^2 s_{\zeta_{[m,n]}}^2 + \left(\frac{\partial E_{gz}}{\partial \omega_{[m,n]}} \right) \left(\frac{\partial E_{gz}}{\partial \zeta_{[m,n]}} \right) s_{\omega\zeta_{[m,n]}}^2 \right] \quad (12)$$

99 where $s_{\omega_{[m,n]}}^2$, $s_{\zeta_{[m,n]}}^2$ and $s_{\omega\zeta_{[m,n]}}^2$ are the variances of the mode resonant frequencies, damping
 100 ratios, and their covariance factors respectively. The latter is zero because the resonant
 101 frequencies and damping ratios are statistically independent. Substituting the partial derivatives
 102 indicated yield:

$$vE_{gz} = \sum_{n=1}^W \sum_{m=1}^2 \left[\beta_{[m,n]}^4 \left(\zeta_{\mu[m,n]} + \frac{1}{4\zeta_{\mu[m,n]}} \right)^2 s_{\omega_{[m,n]}}^2 + (\nabla E_{\zeta_{[m,n]}})^2 s_{\zeta_{[m,n]}}^2 \right] \quad (13)$$

103 where

$$\nabla E_{\zeta_{[s,n]}} = \frac{2\rho_{[n]}^3 \omega_{[u,n]} \zeta_{[s,n]}^3 (4\zeta_{[u,n]}^2 + 1) + \omega_{[s,n]} \zeta_{[u,n]} \left\{ \rho_{[n]} [\zeta_{[s,n]}^2 (4\zeta_{[s,n]}^2 + 7) - 1] + \sqrt{(1 - \zeta_{[s,n]}^2)(1 - \zeta_{[u,n]}^2)} (4\zeta_{[s,n]}^2 + 1) \right\}}{64\pi^2 \zeta_{[s,n]}^2 \zeta_{[u,n]} \left\{ \rho_{[n]} \sqrt{1 - \zeta_{[s,n]}^2} + \sqrt{1 - \zeta_{[u,n]}^2} \right\}^3 \sqrt{1 - \zeta_{[s,n]}^2}} \quad (14)$$

104 and

$$\nabla E_{\zeta_{[u,n]}} = \frac{2\omega_{[s,n]} \zeta_{[u,n]}^3 (4\zeta_{[s,n]}^2 + 1) + \rho_{[n]}^2 \omega_{[u,n]} \zeta_{[s,n]} \left\{ \zeta_{[u,n]}^2 (4\zeta_{[u,n]}^2 + 7) + \rho_{[n]} (4\zeta_{[u,n]}^2 - 1) \sqrt{(1 - \zeta_{[s,n]}^2)(1 - \zeta_{[u,n]}^2)} - 1 \right\}}{64\pi^2 \zeta_{[s,n]} \zeta_{[u,n]}^2 \left\{ \rho_{[n]} \sqrt{1 - \zeta_{[s,n]}^2} + \sqrt{1 - \zeta_{[u,n]}^2} \right\}^3 \sqrt{1 - \zeta_{[u,n]}^2}} \quad (15)$$

105 This energy variance is an important factor of the RIF variance derived in the next section.

106 4 RIF Variance

107 From Equation (1), the κ^{th} traversal of a road segment traveled at an average speed $\bar{\sigma}_\kappa$

108 produces a RIF of:

$$R_{\bar{\sigma}}^L = \bar{\sigma}_\kappa \sqrt{\frac{1}{L} \int_0^{L/\bar{\sigma}} |g_z(t)|^2 dt} = \bar{\sigma}_\kappa \sqrt{\frac{1}{L} \lim_{\varepsilon \rightarrow 0} \int_0^{T_\varepsilon} |g_z(t)|^2 dt} = \bar{\sigma}_\kappa \sqrt{\frac{1}{L} E_{g_z}} \quad (16)$$

109 where $T_\varepsilon = |g_z^{-1}(\varepsilon)|$. That is, the limit of integration is when the vertical acceleration of the

110 impulse response vector becomes negligibly small. The standard deviation of the RIF, s_{RIF}^L is,

111 therefore:

$$s_{RIF}^L = \sqrt{\left(\frac{\partial R_{\bar{\sigma}}^L}{\partial \bar{\sigma}_\kappa} \right)^2 v[\bar{\sigma}_\kappa] + \left(\frac{\partial R_{\bar{\sigma}}^L}{\partial E_{g_z}} \right)^2 vE_{g_z} + \left(\frac{\partial R_{\bar{\sigma}}^L}{\partial \bar{\sigma}_\kappa} \right) \left(\frac{\partial R_{\bar{\sigma}}^L}{\partial E_{g_z}} \right) s_{\bar{\sigma}_\kappa E_{g_z}}^2} \quad (17)$$

112 where $v[\bar{\sigma}_\kappa]$ is the variance of the mean speed among traversals. The covariance of the mean

113 speed and the vertical acceleration signal energy, denoted $s_{\bar{\sigma}_\kappa E_{g_z}}^2$, is zero because of their statistical

114 independence. Evaluating the partial derivatives indicated in Equation (17) yields:

$$s_{RIF}^L = \sqrt{\frac{1}{L} \left(\bar{E}_{gz} v[\bar{\sigma}_\kappa] + \frac{(\bar{\sigma}_\kappa/2)^2}{\bar{E}_{gz}} vE_{gz} \right)} \quad (18)$$

115 where \bar{E}_{gz} and $\bar{\sigma}_\kappa$ are respectively the mean vertical acceleration signal energy and the mean of
 116 the average speed among traversals. This expression establishes a variance boundary for the
 117 independent parameter of any related regression model used to predict a future deterioration
 118 threshold.

119 **5 Deterioration Forecasting Models**

120 The most common models of pavement deterioration are empirical regression of the IRI because
 121 they provide the greatest practical value and abstract the complexity of the underlying
 122 phenomena (Lu and Tolliver 2012). Research demonstrates that IRI over time follows the
 123 exponential form (S. W. Haider, et al. 2010):

$$\psi(t) = \psi_0 \exp(\beta_L t) \quad (19)$$

124 where ψ_0 and $\psi(t)$ are respectively the initial and expected ride-indices at time t , and β_L is a
 125 calibration parameter that best fits the historical ride-index measured for segment L . Therefore,
 126 the expected time to reach a given index threshold ψ_α is:

$$\hat{T}(\psi_\alpha) = \frac{1}{\beta_L} \ln\left(\frac{\psi_\alpha}{\psi_0}\right) \quad (20)$$

127 The estimate uncertainty for a future time, $s_{T\psi}$, to reach the ride-index threshold is:

$$s_{T\psi} = \sqrt{\left[\frac{\partial T(\psi_\alpha)}{\partial \psi_\alpha} \right]^2 s_{\psi_\alpha}^2} = \frac{1}{\beta_L} \frac{s_{\psi_\alpha}}{\psi_\alpha} \quad (21)$$

128 where $s_{\psi\alpha}$ is a window of uncertainty about the future ride-index threshold. Using RIF as the
 129 ride-index, the ratio $s_{\psi\alpha}/\psi_\alpha$ is bounded by the ratio of the RIF standard deviation to the mean RIF
 130 of quarter-car impulse responses where:

$$\frac{s_{\psi\alpha}}{\psi_\alpha} = \frac{s_{RIF}^L}{R_{\bar{\sigma}}^L} = \sqrt{\frac{v[\bar{\sigma}_k]}{\bar{\sigma}_k^2} + \frac{1}{4} \frac{vE_{gz}}{\bar{E}_{gz}^2}} \quad (22)$$

131 The time margin-of-error ΔT_ψ is:

$$\Delta T_\psi = \frac{q_{1-\alpha/2} \times s_{T\psi}}{\sqrt{N_v}} = \frac{q_{1-\alpha/2}}{\sqrt{N_v}} \frac{1}{\beta_L} \frac{s_{RIF}^L}{R_{\bar{\sigma}}^L} \quad (23)$$

132 where N_v is the traversal volume, and $q_{1-\alpha/2}$ is the standard normal quantile for a $(1-\alpha)\%$
 133 confidence interval (Papoulis 1991). Therefore, the minimum traversal volume needed to
 134 achieve a minimum desired precision (maximum ΔT_ψ) of the estimated time when the pavement
 135 will deteriorate to a future ride-index ψ is:

$$N_v(\Delta T_\psi) = \left(\frac{1}{\Delta T_\psi} \frac{q_{1-\alpha/2}}{\beta_L} \right)^2 \left(\frac{v[\bar{\sigma}_k]}{\bar{\sigma}_k^2} + \frac{1}{4} \frac{vE_{gz}}{\bar{E}_{gz}^2} \right) \quad (24)$$

136 Given a deterioration rate parameter β_L , the precision ΔT_ψ is bounded by the sum of the standard
 137 deviation-to-mean value ratios of the traversal velocity and vertical acceleration signal energy
 138 respectively. The latter is bounded by the variance of the impulse response energy relative to the
 139 mean response energy for all the vehicles that traverse the monitored road segment.

140 6 Case Study

141 6.1 Vehicle Suspension Statistics

142 It is standard practice for vehicle manufacturers to attenuate the vertical motion between 4
 143 and 8 hertz because vibration levels within that frequency range are the most harmful to humans

144 (Griffin 1990). To achieve this, manufacturers distribute the sprung and unsprung masses so that
 145 they account for 90% and 10% respectively of the gross vehicle weight (Gillespie 2004). The
 146 average curb weight of vehicles increased steadily since 1985 and peaked in 2007 (Bastani,
 147 Heywood and Hope 2012), but trends indicate that they will return to 1990 levels by 2015. The
 148 average gross mass, $m_{\mu G}$, for vehicles manufactured in 2007 was 2226 kilograms and the
 149 standard deviation, s_{mG} , was 483.7 kilograms (Woodyard 2007). These yield the mean and
 150 standard deviations of the quarter-car sprung and unsprung masses, m_s and m_u respectively.

151 Suspension system engineers also design the sprung mass resonant frequency between 0.9 and
 152 1.5 hertz for all vehicle types (General Motors 1987). If this is approximately the six-sigma
 153 range for normally distributed sprung mass resonant frequencies, ω_s , of vehicles that travel any
 154 road segment, then the mean frequency and standard deviation are 1.2 and 0.1 hertz respectively.
 155 Similarly, vehicle suspension shock absorbers produce sprung mass damping ratios, ζ_s , in the
 156 range of 0.3 to 0.4 (Gillespie 2004). Hence the mean and standard deviation for a normal
 157 distribution is 0.35 and 0.017 respectively.

158 A tire at its rated load will experience a deflection of approximately 25 mm (Gillespie 2004),
 159 therefore, for four-wheeled vehicles, an estimate of the average unsprung mass spring stiffness,
 160 k_u , in units of $\text{N}\cdot\text{m}^{-1}$ is:

$$k_u = \frac{(m_{\mu G}/4)g}{0.025} \quad (25)$$

161 where g is the g-force constant of $9.8 \text{ m}\cdot\text{s}^{-2}$. The mean unsprung mass resonant frequency, ω_u , is
 162 therefore:

$$\omega_u = \sqrt{\frac{k_u}{m_u}} \quad (26)$$

163 where m_u is the average unsprung mass. From the gross mass statistics above, the associated
 164 average resonant frequency is approximately 10 hertz. Its standard deviation is:

$$s_{\omega_u} = \sqrt{\left(\frac{\partial \omega_u}{\partial k_u}\right)^2 s_{k_u}^2 + \left(\frac{\partial \omega_u}{\partial m_u}\right)^2 s_{m_u}^2 + \left(\frac{\partial \omega_u}{\partial k_u}\right)\left(\frac{\partial \omega_u}{\partial m_u}\right) s_{km}^2} \quad (27)$$

165 For this scenario, both k_u and m_u depend on the gross vehicle mass statistics, therefore, the
 166 covariance factor is unity and the expression becomes:

$$s_{\omega_u} = \sqrt{\frac{1}{4k_u m_u} s_{k_u}^2 + \frac{k_u}{4m_u^3} s_{m_u}^2 - \frac{1}{4m_u^2}} \quad (28)$$

167 The average damping ratio for the unsprung mass is defined as:

$$\zeta_u = \frac{c_u}{2\sqrt{m_u k_u}} = \frac{c_u}{2m_u \omega_u} \quad (29)$$

168 The unsprung mass damping coefficient c_u is typically $\eta = 15\%$ of the sprung mass damping
 169 coefficient c_s (Türkay and Akçay 2008). Therefore,

$$\zeta_u = \frac{\eta c_s}{2m_u \omega_u} = \frac{\eta(2m_s \omega_s \zeta_s)}{2m_u \omega_u} = \eta \zeta_s \frac{m_s \omega_s}{m_u \omega_u} \quad (30)$$

170 where m_s , ω_s , and ζ_s are the means of the sprung masses, their resonant frequencies, and their
 171 damping ratios respectively. Hence, the standard deviation of the unsprung mass damping ratio
 172 is:

$$s_{\zeta_u} = \sqrt{\left(\frac{\partial \zeta_u}{\partial \zeta_s}\right)^2 s_{\zeta_s}^2 + \left(\frac{\partial \zeta_u}{\partial \omega_s}\right)^2 s_{\omega_s}^2 + \left(\frac{\partial \zeta_u}{\partial \omega_u}\right)^2 s_{\omega_u}^2 + \left(\frac{\partial \zeta_u}{\partial m_s}\right)^2 s_{m_s}^2 + \left(\frac{\partial \zeta_u}{\partial m_u}\right)^2 s_{m_u}^2 + \Delta_{cv}} \quad (31)$$

173 where the covariance term Δ_{cv} is:

$$\Delta_{cv} = \left(\frac{\partial \zeta_u}{\partial m_s}\right)\left(\frac{\partial \zeta_u}{\partial m_u}\right) s_{m_s m_u}^2 + \left(\frac{\partial \zeta_u}{\partial m_s}\right)\left(\frac{\partial \zeta_u}{\partial \omega_u}\right) s_{m_s \omega_u}^2 + \left(\frac{\partial \zeta_u}{\partial m_u}\right)\left(\frac{\partial \zeta_u}{\partial \omega_u}\right) s_{m_u \omega_u}^2 \quad (32)$$

174 For this scenario, the variables m_s , m_u , and ω_u are proportionally linked per the guidelines for
 175 typical vehicle suspension designs; therefore, their respective covariance factors s_{msmu} , $s_{ms\omega_u}$, and
 176 $s_{mu\omega_u}$ are unity. Evaluating the partial derivatives indicated, and simplifying yields:

$$\Delta_{cv} = \left(\eta^2 \zeta_s^2 \frac{m_s \omega_s^2}{m_u \omega_u^2} \right) \left[\frac{m_s - m_u - \omega_u}{m_u^2 \omega_u} \right] \quad (33)$$

177 With these typical values of vehicle parameters, all of values for the mean and variance of the
 178 quarter-car suspension parameters specified in Equations (11) and (13) are now known to
 179 compute a value for the energy variance to mean ratio of Equation (18). Table 1 summarizes the
 180 ratios of standard deviations to mean values for the sprung and unsprung mass parameters of this
 181 case study. With these values, Equation (24) will produce the number of sensor readings needed
 182 for a specified level of forecast precision and confidence when given the average traversal speed,
 183 its standard deviation, and the historical rate of pavement deterioration. The next section
 184 provides an example based on a typical scenario.

185 6.2 Deterioration Forecasting Example

186 As shown in Figure 2, estimating a single quarter-car response from the aggregate provides a
 187 reasonable simplification to accommodate the quarter-car statistics from the case study. Figure 3
 188 plots Equation (24), normalized to the number of data collection days required for a desired
 189 maximum forecast precision within a 95% confidence interval. This result is based on an
 190 average travel speed of 24.6 m/s (about 55 mph) within a 5% standard deviation. The number of
 191 data collection days depend on the typical Annual Average Daily Traffic (AADT) volume
 192 medians of 10,965 and 39,093 passenger cars per lane for rural and urban Interstate functional
 193 classifications respectively (Hausman and Clarke 2012), and a scenario where only 20% of the
 194 vehicles are equipped with sensors. The result is also based on typical rural and urban interstate

195 highway deterioration rates (Anastasopoulos, Mannering and Haddock 2009), which correspond
196 to β_L values of 0.056 and 0.055 respectively. The plot for this scenario indicates that one week
197 of data collection will forecast RIF thresholds with a worst-case precision of two weeks for the
198 typical urban and rural Interstate. To maintain homoscedasticity, the maximum data collection
199 period selected should be less than the maximum time-period that the deterioration level is
200 relatively unchanged.

201 **7 Summary and Conclusions**

202 The ability to collect and process data from a large number of inertial sensors in a connected
203 vehicle environment will provide transformational gains in the precision and accuracy of
204 forecasting pavement deterioration forecasts. Fundamentally, the accuracy and precision of a
205 regression model's ability to predict pavement deterioration is directly proportional to the rate of
206 its recalibration with new ride-quality data. Statistical properties of the road impact factor (RIF),
207 a new ride-index introduced in previous work, inherently improves its forecast precision as data
208 volume increases, making it an ideal model for a connected vehicle environment. This analysis
209 provides theoretical insights that relate the statistics of vehicle motion parameters to bounds of
210 its forecast precision. The supporting case study used suspension parameter variances published
211 for vehicles manufactured in 2007. A scenario with 20% of the passenger cars traveling a typical
212 U.S. interstate highway at a common speed limit and producing RIF data was analyzed. Results
213 for this scenario indicate that the model will predict a future ride-index within a worst case
214 precision of two weeks from statistics of RIF data collected for about one week.

215 Future work will characterize pavement distress symptom location accuracy in terms of the
216 variability of vehicle suspension response durations, errors in geospatial position estimates, and
217 asynchronous accelerometer and GPS sample rates.

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220 (USDOT), Research and Innovative Technology Administration (RITA) under the Rural
221 Transportation Research Initiative.

222 **Notation**

223 The following symbols are used in this paper:

- 224 $A_{[m,n]}$ = low-pass filter amplitude for mass-spring model n ;
- 225 c_s = average damping coefficient of the sprung mass response motion;
- 226 c_u = average damping coefficient of the unsprung mass response motion;
- 227 f = frequency in hertz;
- 228 $f_{[s,n]}$ = sprung mass resonance mode frequency (hertz) of quarter-car n ;
- 229 $f_{[u,n]}$ = unsprung mass resonance mode frequency (hertz) of quarter-car n ;
- 230 $g_z(t)$ = aggregate g-force output from the inertial sensor as a function of time t ;
- 231 $g_{[u,n]}(t)$ = g-force sensed as a function of time t from individual mass-spring models;
- 232 k_u = unsprung mass spring stiffness;
- 233 L = length of road segment;
- 234 m_s = the average sprung mass of vehicles;
- 235 m_u = the average unsprung mass of vehicles;
- 236 $m_{\mu G}$ = average gross mass for vehicles;
- 237 N_v = the traversal volume;
- 238 $R^L[p]$ = RIF for segment of length L evaluated in time-period p ;
- 239 $R_{\bar{\sigma}}^L$ = RIF for segment of length L when traversed at an average speed $\bar{\sigma}$;
- 240 s_{ks} = standard deviation of the sprung mass spring stiffness;

- 241 s_{ku} = standard deviation of the unsprung mass spring stiffness;
- 242 s_{km}^2 = covariance of the unsprung mass and its spring stiffness;
- 243 s_{ms} = standard deviation of the sprung mass;
- 244 s_{mu} = standard deviation of the unsprung mass;
- 245 s_{msmu} = covariance between the sprung mass and the unsprung mass;
- 246 s_{msou} = covariance between the sprung mass and unsprung mass resonance;
- 247 s_{muou} = covariance between the unsprung mass and the unsprung mass resonance;
- 248 s_{RIF}^L = standard deviation of the RIF for segment of length L ;
- 249 $s_{T\psi}$ = uncertainty of estimating the future time to reach a ride-index threshold ψ ;
- 250 $s_{\omega s}$ = standard deviation of the sprung mass resonant frequency;
- 251 $s_{\omega u}$ = standard deviation of the unsprung mass resonant frequency;
- 252 $s_{\psi\alpha}$ = the uncertainty band about a future ride-index threshold $\psi\alpha$;
- 253 $s_{\zeta s}$ = standard deviation of the sprung mass damping ratio;
- 254 $s_{\zeta u}$ = standard deviation of the unsprung mass damping ratio;
- 255 $s_{\bar{\sigma}E}^2$ = covariance of the average speed and vertical acceleration signal energy;
- 256 $s_{\omega[m,n]}^2$ = variances of the mode resonant frequencies;
- 257 $s_{\omega\zeta[m,n]}^2$ = covariances of the mode resonant frequencies and damping ratios;
- 258 $s_{\zeta[m,n]}^2$ = variances of the mode damping ratios;
- 259 $S(f)$ = inertial sensor frequency response function;
- 260 T_ε = limit of integration when the vertical acceleration is negligibly small;
- 261 $q_{1-\alpha/2}$ = the standard normal quantile for a $(1-\alpha)\%$ confidence interval;

262	vE_{gz}	=	the variance of acceleration energy;
263	$v[\bar{\sigma}_\kappa]$	=	the variance of the mean speed among κ traversals;
264	W	=	the number of vehicle wheel-spring assemblies;
265	$U(t)$	=	the Heaviside step function;
266	$z_{[m,n]}(t)$	=	vertical motion of each mass-spring model;
267	$Z_{[m,n]}(\omega)$	=	Fourier transform of the vertical motion of each mass-spring model;
268	$\beta_{[u,n]}$	=	proportion of each LPF in the linear combination aggregate model;
269	β_L	=	a calibration parameter that best fits the segment L ride-index time series;
270	∇_{cv}	=	expression of the covariance factors among parameters;
271	ΔT_ψ	=	the time margin-of-error relative to the ride-index standard deviation;
272	$\rho_{[u,n]}$	=	ratio of unsprung to sprung mass quarter-car model n coefficient;
273	$\bar{\sigma}_\kappa$	=	average or constant speed for the κ^{th} traversal;
274	$\bar{\bar{\sigma}}_\kappa$	=	mean of the average or constant speed among traversals;
275	$\sigma(t)$	=	instantaneous traversal speed as a function of time;
276	ω_s	=	average sprung mass angular resonance frequency;
277	ω_u	=	average unsprung mass angular resonance frequency;
278	$\omega_{[s,n]}$	=	sprung mass resonance mode angular frequency of quarter-car n ;
279	$\omega_{[u,n]}$	=	unsprung mass resonance mode angular frequency of quarter-car n ;
280	ψ_0	=	the initial ride-index at time $t = 0$;
281	ψ_α	=	a ride-index threshold that triggers maintenance action;
282	$\psi(t)$	=	the expected ride-index at time t ;
283	ζ_s	=	average damping ratio of the sprung sprung mass frequency response;

284 ζ_u = average damping ratio of the unsprung sprung mass frequency response;
285 $\zeta_{[s,n]}$ = damping ratios of the sprung mass frequency response;
286 $\zeta_{[u,n]}$ = damping ratios of the unsprung mass frequency response.

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360 **Figures**

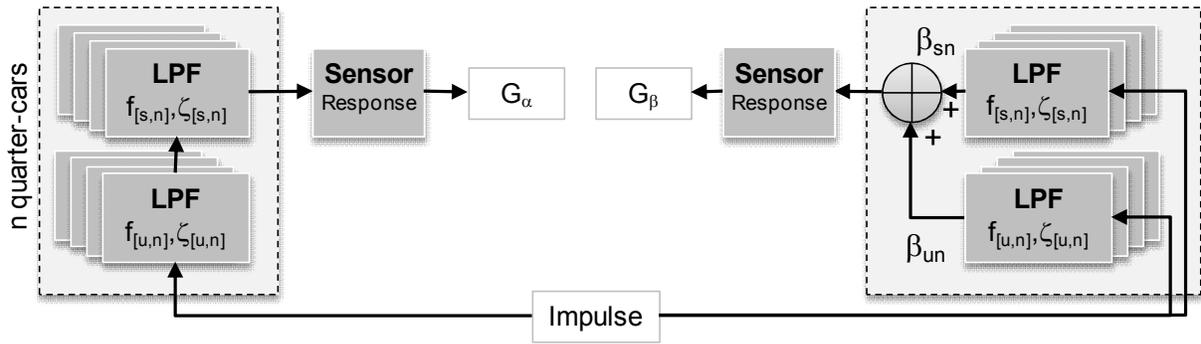


Figure 1. Equivalent response models of vehicle dynamics

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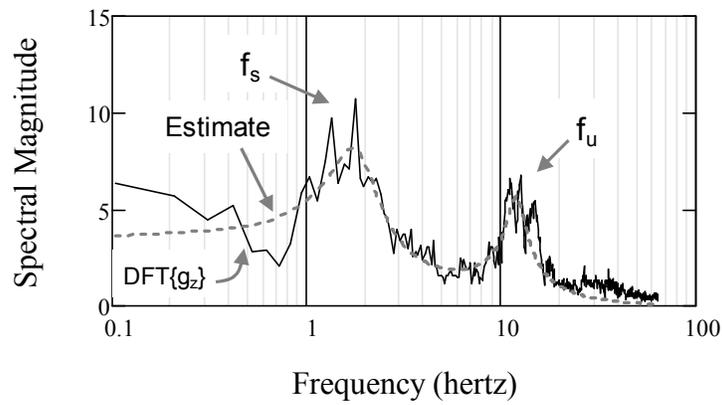


Figure 2. DFT of sensor output versus estimate of the quarter-car response

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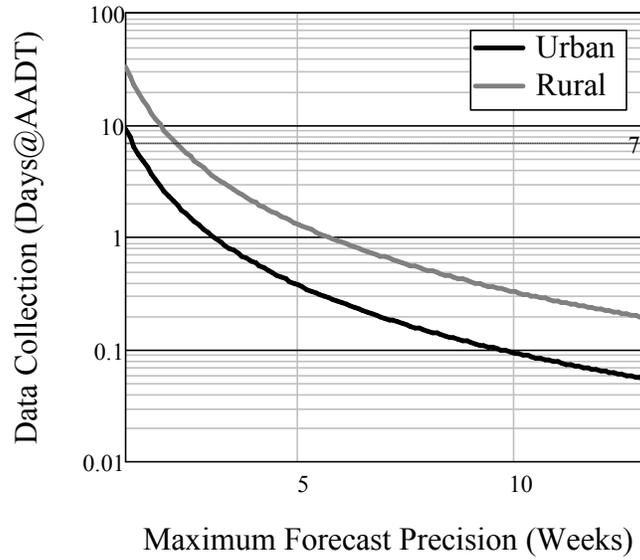


Figure 3. Data collection time needed for a desired maximum forecasting precision

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Table 1: Ratio of standard deviation to the mean value for typical vehicles

Parameter	Sprung Mass	Unsprung Mass
Resonant Frequency (ω)	8.3%	15.4%
Damping Ratio (ζ)	4.8%	35.7%
Spring Stiffness (k)	27.4%	21.7%
Damping Coefficient (c)	18.1%	18.1%

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