

# Superintendent of Documents/ Library Services and Content Management Update

Discussion topics provided by REGIL

Meeting of the Regional Government Information Librarians August 20, 2021



## Today's Speakers

- Laurie Hall (<a href="mailto:lhall@gpo.gov">lhall@gpo.gov</a>)
  Superintendent of Documents and Managing Director, Library Services and Content Management (LSCM)
- Cynthia Etkin (<u>cetkin@gpo.gov</u>) Sr. Program Planning Specialist (Librarian), Superintendent of Documents' Office
- Kate Pitcher (<u>kpitcher@gpo.gov</u>)
   Chief, Federal Depository Support Services, LSCM
- Abby McDermott (<u>amcdermott@gpo.gov</u>) Collection Management Librarian, Library Technical Services, LSCM
- Lisa Russell (<u>lrussell@gpo.gov</u>) Senior Program Analyst, Projects and Systems, LSCM
- Lara Flint (<u>lflint@gpo.gov</u>) Librarian (Assessment Specialist), Federal Depository Support Services, LSCM



## Agenda

- Announcements and Reminders
- Title 44 reform status update
- Regional online selection draft policy
- Regional discards
- Documents Data Miner
- Expectations for distribution of materials
- Disposition of materials from FDLs leaving the FDLP
- Enhancements to FDLP eXchange
- PURL correction update
- askGPO implementation update



### **Announcements and Reminders**

- Fiscal Year 2022 budget
- Hiring
- Next generation Catalog of U.S. Government Publications (CGP)
- Process has begun to renew our Trustworthy Digital Repository (TDR) certification under ISO 16363
- Task Force to Study the Feasibility of an All-Digital FDLP
- askGPO accounts
- Biennial Survey of Depository Libraries will be conducted this fall/winter
- Fall conference/Depository Library Council meeting (October 18-20)



# Pilot Projects

# Introducing LSCM Pilot Projects: Apply Beginning September 1, 2021





#### **Details**

Last Updated: August 02 2021 Published: August 02 2021

Library Services & Content Management (LSCM) is pleased to announce the kickoff of pilot projects with Federal depository libraries in support of the Federal Depository Library Program (FDLP).

Pilot projects will address the following aspects of Federal Government documents management:

- Cataloging
- Condition assessment
- Inventory
- Conservation

Though GPO does not have gift or grant authority as an agency, LSCM can carry out projects in conjunction with Federal depository libraries and can assist by providing resources and services on targeted initiatives. These jointly-conducted projects are envisioned to benefit the larger FDLP community and ultimately to enhance access to the National Collection of U.S. Government Public Information.

LSCM will be accepting applications for the first pilot project opportunity beginning **September 1 – 30, 2021**. Review the LSCM Pilot Projects web page to learn more about the first opportunity.

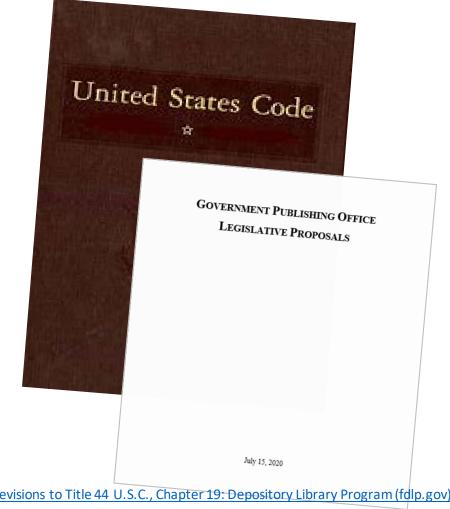
Both regional and selective libraries are encouraged to apply.

Learn more about LSCM Pilot Projects, and review the application to participate.



## Proposals for Revising 44 U.S.C. Chapter 19

- Focused on "what" and not "how"
- Outcomes
  - Digital and tangible content specifically included, but format-neutral
  - National Collection of U.S. Government **Public Information**
  - Flexibility for libraries
  - Training
- Next steps
  - Reviewing our proposals with eye an on comments
  - Revise proposals accordingly



Legislative Revisions to Title 44 U.S.C., Chapter 19: Depository Library Program (fdlp.gov)



## GPO's Legislative Proposals Timeline

- 12/05/2019 New Director of the Government Publishing Office
- 07/15/2020 GPO's legislative proposals sent to Senate Rules and House Administration Committees
- 10/07/2020 Briefing for Senate Rules Committee staff
- 12/02/2020 Q&A with Committee on House Administration Staff
- 01/28/2020 Webinar: Proposed Legislative Changes for the FDLP
- 01/28/2021 Request for Comments announcement
- 03/02/2021 Request for Comments deadline extended
- 03/21/2021 Request for Comments closed
- 04/21/2021 Preliminary data from public comments shared
- 07/26/2021 Legislative Proposals Feedback posted to FDLP.gov



### **Record of Public Comments**

TOPIC: Legislative Revisions to Title 44 U.S.C., Chapter 19: Depository Library Program

PROPOSAL: Legislative Proposals of the Government Publishing Office (July 2020)

**COMMENTS: Comment Period Closed** 

DEADLINE: March 5, 2021 Extended to March 21, 2021

FOR MORE INFORMATION: Legislative Revisions on FDLP.gov

NOTICE: Seeking Feedback: GPO's Legislative Proposals for FDLP (January 28, 2021)

NOTICE: FDLP Legislative Proposals: Feedback Deadline Extended (March 2, 2021)

COMMENTS RECEIVED: Legislative Proposals Feedback (July 26, 2021)

https://www.fdlp.gov/about-fdlp/18-supdocs/4957-closed-requests-for-comments



## Feedback Report

#### FEEDBACK:

Legislative Proposals to Revise Chapter 19: Depository Library Program



ulv 26, 202

#### CLOSED REQUESTS FOR COMMENTS RECORD:

TOPIC: Legislative Revisions to Title 44 U.S.C., Chapter 19: Depository Library Program PROPOSAL: Legislative Proposals of the Government Publishing Office (July 2020)

COMMENTS: Comment Period Closed

DEADLINE: March 5, 2021 Extended to March 21, 2021

FOR MORE INFORMATION: Legislative Revisions on FDLP.gov

NOTICE: Seeking Feedback: GPO's Legislative Proposals for FDLP (January 28, 2021)

NOTICE: FDLP Legislative Proposals: Feedback Deadline Extended (March 2, 2021)

COMMENTS RECEIVED: Legislative proposals feedback (July 7, 2021)

#### FEEDBACK

There were 20 responses to the call for comments, including a <u>letter</u> from the Association of Southeastern Research Libraries (ASERL).

#### I am ... (check all that apply)

Commenters self-identified, I am (select all that apply)		
General public user of Federal Government Information		3
A depository library coordinator		7
A regional depository library coordinator		6
A government information librarian		8
A librarian, other than a government information librarian		2
An administrator at a library with a designated depository library		2
From a library association/organization		2
From a library consortium		0
From a Federal agency		0
From a non-profit organization		2
From the public sector		1
From the private sector		1
Other, please specify: JCP Professional Staff retired		1
From this type of depository library: Academic: 11	Public: 4	Public Law: 1

#### Which provisions of the proposed legislation do you like best? List up to 3 or

use N/A. The number in () represents the number of occurrences of the response.

- §1918, Establishment of the National Collection of U.S. Government Public Information (19)
- §1917, Cataloging and Access Services (8)
- §1912, Regional depositories; designation; functions; shared responsibilities, disposal of publications (8)
- §1909, Requirements of depository libraries; reports on conditions; consultations and training; termination; replacement (5)
- §1911, Free use of Government public information in depositories; disposal of unwanted public information (4)
- §1900, Purpose and establishment of the Public Information Programs of the Superintendent of Documents (3)
- §1901, Definitions (2)
- . §1902, Notification language, which makes it more format-neutral (2)
- §1907, Revise the disposition of materials process Federal agencies (2)

#### What provisions of the proposed legislation do you like least? List up to 3 or use

N/ A. The number in ( ) represents the number of occurrences of the response.

- §1905 Appears not to allow digital-only depository libraries (4)
- §1912, involvement of the Superintendent of Documents in the disposition of materials process (4)
- . §1901 Prefer the use of an alternative term to "fugitive" documents (2)
- §1917a, Didn't specify that cataloging standards should be library standards developed by and adopted by LC and library associations (1)
- . Tangible and digital materials are treated differently (2)
- . Needed a better definition of the National Collection (1)
- · No requirement for or provision that:
  - o Libraries must accept digital files (2)
  - Regionals must take everything (1)
  - Libraries deleted from the program should have an appeal process to the JCP (1)
  - Declassified materials be included (1)
  - o The biennial survey be conducted (1)
  - o Minimum number of copies in the FDLP for preservation and access (1)
- . The number of libraries eligible for the FDLP is limited (1)

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- Cataloging/access are treated separately from preservation (1)
- . Lowered requirement on rules for retention by regional depositories (1)
- Remove requirements for FDLP libraries to have any depository holdings, of any format (1)

Share items you would have liked to have seen in the proposed legislation that were not included. List up to 3 or use N/A. The number in () represents the number of occurrences of the response.

- · Transparent structure for establishing SOD policy and guidelines (3)
- New depository designations (3)
- . Scope of the preservation program and how it will work (3)
- · Usability of government information (2)
- · Retention of tangible and digital publications (2)
- LostDocs (2)
- · Share responsibility/collaborating to provide access (2)
- Make government publications discoverable (2)
- · Collaborative collection development (1)
- Provision for the Depository Library Council (1)
- · Shared regional responsibilities within states (1)
- Digital deposit (1)
- Inclusion of digital content (1)
- . How the National Collection will be built (1)
- · Accept cataloging records from contractors and other entities (1)
- Format comments (1)

Please provide any comments about the proposed legislative revisions. If you have no other thoughts or opinions to share, respond with N/A. Comments are presented as received.

#### N/A

I think the proposed revisions are excellent and especially approve of the provision allowing regionals from different states to enter into agreements and share responsibilities. I do think that entering the terms 'fugitive public information' into law is ill advised as I can see the term fugitive coming into disrepute and being objectionable. I think a more neutral term would be better.

I think Section 1912 needs more study to understand potential impact on disposition procedures. Moving from a decentralized to centralized approach is a big change and we need to make sure language doesn't have unintended consequences.

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## Regional Depository Libraries Online Selections

- <u>Regional Depository Libraries Online Selections</u>, Draft Superintendent of Documents Public Policy Statement
- **Purpose:** To allow regional depository libraries **flexibility** in building and providing service to their Federal depository collections
- **Policy:** Regional depository libraries may select "online" as a format, without having to make a corresponding tangible selection, for titles or series accessible through GPO's system of online access or from official digital preservation steward partners
- Survey was conducted of regionals (09/17/2020 10/19/2020) to:
  - o Gauge regional depository interest in the prospect of online format
  - o Provide insight into how regionals might implement the policy
  - o Inform the development of processes for LSCM

<u>Survey Report</u>



## Safety Net: Print Selectors



### Congressional Record (daily)

- Augusta University (South)
- University of South Carolina (South)
- State Library of Ohio (Midwest)
- State Library of Pennsylvania (Northeast)
- University of Colorado, Boulder, School of Law Library (West)
- Vanderbilt University (South)

### Federal Register

- Louisiana State University, Baton Rouge, Paul M. Herbert Law Center (South)
- State Library of Ohio (Midwest)
- State Library of Pennsylvania (Northeast)
- University of Colorado, Boulder, School of Law Library (West)



### Request for Comments

TOPIC: Draft Superintendent of Documents Public Policy Statement: Regional Depository Libraries Online Selections (SOD-PPS-202X-X)

PROPOSAL: Regional Depository Libraries Online Selections (Draft SOD-PPS-202X-X)

COMMENTS: Comment Period Closed

**DEADLINE: May 16, 2021** 

FOR MORE INFORMATION: Regional Online Selections Policy webpage on FDLP.gov

NOTICE: Request for Comments: Regional Depository Library Online Selections Draft Policy (March 30, 2021)

NOTICE: Request for Comments by May 16: Regional Online Selections Draft Policy (May 12, 2021)

COMMENTS RECEIVED: Coming soon

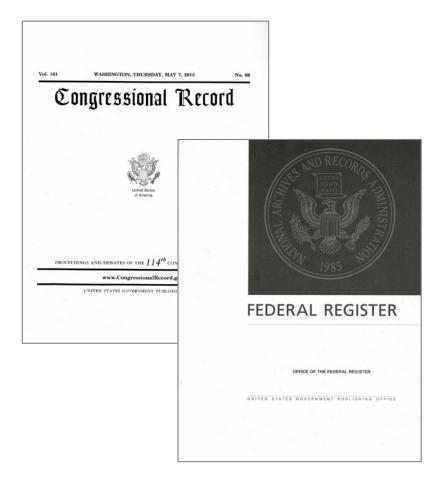
- Twenty-one of the 25 submissions included free-form text comments.
- I \_(fill in the blank)\_ the concept of the Regional Depository Online Selections draft policy.
  - o Like (19)
  - o Do not like (2)
  - o Unsure (3)
  - Neutral (1)
- Seventeen viewed the webinar prior to submitting comments.





# **Policy Implementation Testing**

- Congressional Record (daily)
  - Print Selectors must retain dailies until the full volume bound edition (proceedings and index) is distributed
- Federal Register
  - o Print Selectors must retain for two years
- Four months, beginning September 1, 2021
- Data gathering by participating libraries



https://www.fdlp.gov/about-fdlp/18-supdocs/4958-regional-online-selections-policy





### Data Gathering by Participating Depository Libraries

#### Regionals Selecting Only Online

# QUESTIONS ABOUT THE CONGRESSIONAL RECORD (DAILY)

- 1. How many ILL requests were submitted to other institutions for the print CRD during the month?
- 2. How many times was the CRD requested, or a patron referred to, during the month?
- 3. When patrons were referred to the online version of the CRD, how many times during the month did they indicate they preferred to use print?
- 4. If patrons indicated why they preferred using the print, please document the reasons.

#### **Print Selectors**

# QUESTIONS ABOUT THE CONGRESSIONAL RECORD (DAILY)

- 1. How many ILL requests were filled for the print CRD during the month?
- 2. How many times was the CRD requested, or a patron referred to the CRD, during the month?
- 3. When patrons were referred to the online version of the CRD, how many times during the month did they indicate they preferred to use print?
- 4. If patrons indicated why they preferred using the print, please document the reasons.



# Government Publications Authorized for Discard by Regional Depository Libraries

- Policy effective October 1, 2020
- Changes from previous version:
  - Retention period is now 5 years.
  - Online equivalent versions accessible govinfo, digital preservation stewards or Federal agency partners.
  - Advanced approval of the Superintendent of Documents must be obtained to discard depository materials for any reason other than for publications that are superseded or later issued in bound form.



U.S. GOVERNMENT PUBLISHING OFFICE

Keeping America Informed | OFFICIAL | DIGITAL | SECURE

Office of the Superintendent of Documents

#### SUPERINTENDENT OF DOCUMENTS PUBLIC POLICY STATEMENT 2020-1

EFFECTIVE: 10/01/2020

Supersedes SOD-PPS-2016-3

SUBJECT: Government Publications Authorized for Discard by Regional Depository Libraries

#### PURPOSE

To allow regional depository libraries the option to discard certain tangible materials which have been retained by the regional for a specified length of time if permanent public access to an authenticated digital version of the discarded material is available on the U.S. Government Publishing Office's (GPO) online system of record, or from the digital repositories of Digital Preservation Stewards or Federal agency official partners.

The goal of this policy is to give regional depository libraries more flexibility to manage their depository collections, which will allow them to:

- Obtain relief from space problems resulting from the retention requirement in 44 U.S.C. §1912.
- Adjust depository collections to meet local needs, and to focus on the needs of a growing
  population of library patrons, including the public at large, which increasingly obtain
  information in digital formats.

This policy will not impair the comprehensiveness of the Federal Depository Library Program (FDLP) collection nationwide, rather, it will advance the intent of the FDLP to be available for the "free use" of the public, as provided in 44 U.S.C. §1911, as well as GPO's mission of Keeping America Informed.

#### BACKGROUND

Responsibility for retention of depository materials by regional depository libraries is found in 44 United States Code §1912, which states:

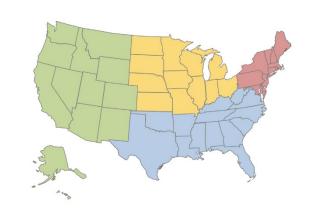
[regional depository libraries] will, in addition to fulfilling the requirements for depository libraries, retain at least one copy of all Government publications either in printed or microfacsimile form (except those authorized to be discarded by the Superintendent of Documents) ...





### **Preservation Steward Partnerships**

- Four geographically dispersed copies are maintained by preservation stewards
- Currently 44 preservation stewards representing 159 entries are on our spreadsheet:



	А	В	C	D	E	F
1	Institution	SuDoc Class	Agency/Title	Program	Census Region	FDL 🔻
21	Oklahoma State University	A 57 (A 76.201:)	Soil Conservation Service	Preservation Steward	South	0488
22	University of Florida	A 6	Division of Botany	Preservation Steward	South	0103
23	University of Florida	A 7	Bureau of Chemistry	Preservation Steward	South	0103
24	Oklahoma State University	A 77	Agricultural Research Service	Preservation Steward	South	0488
25	University of Florida	A 8	Entomological Commission	Preservation Steward	South	0103
26	University of Florida	A 9	Bureau of Entomology	Preservation Steward	South	0103
27	Library of Congress, Law Library of G	AE 2.106/2: (GS 4.10	LSA, List of CFR Sections Affected	Preservation Steward	South	NA
28	Indiana University, Jerome Hall Law	AE 2.106/3: (GS 4.10	Code of Federal Regulations	Preservation Steward	Midwest	0117B
29	University of North Texas	AE 2.106/3: (GS 4.10	Code of Federal Regulations	Preservation Steward	South	0608A
30	University of Notre Dame Kresge La	AE 2.106/3: (GS 4.10	Code of Federal Regulations	Preservation Steward	Midwest	0173B
31	Library of Congress, Law Library of G	AE 2.106: (GS 4.107:,	Federal Register	Preservation Steward	South	NA
32	Paul M. Hebert law Center, Louisian	AE 2.106: (GS 4.107:,	Federal Register	Preservation Steward	South	0231
33	University of Colorado William A. W	AE 2.106: (GS 4.107:,	Federal Register	Preservation Steward	West	0074C
34	Indiana University, Jerome Hall Law	AE 2.109:	Weekly Compilation of presidential Documents	Preservation Steward	Midwest	0117B
35	Indiana State Library	AE 2.111: (GS 4.111:,	U.S. Statutes at Large	Preservation Steward	Midwest	0170
36	State Law Library of Mississippi	AE 2.111: (GS 4.111:,	U.S. Statutes at Large	Preservation Steward	South	0307
37	Syracuse University	AE 2.111: (GS 4.111:,	U.S. Statutes at Large	Preservation Steward	Northeast	0426
38	University of Colorado William A. W	AE 2.111: (GS 4.111:,	U.S. Statutes at Large	Preservation Steward	West	0074C
39	University of Washington Gallagher		U.S. Statutes at Large	Preservation Steward	West	0645A
4	Preservation Stewards	Pivot Table (+)		<b>!</b> [ 1		

https://www.fdlp.gov/about-the-fdlp/partnerships





## Titles Eligible for Discard by Regionals

- Statutes at Large
- Public Paper of the Presidents of the United States



Not all volumes of these titles are eligible for discard. Eligibility depends on the holdings of the preservation stewards.

For more information see the <u>Regional Discard Policy page</u> on FDLP.gov



# FDSS Updates

REGIL Meeting August 20, 2021

> Kate Pitcher Chief, Federal Depository Support Services (FDSS)| Library Services & Content Management | GPO





### DDM Update

#### IT and LSCM are...

- Evaluating functionality of DDM3 with original developer, John Ellis
- Testing to see if it is possible to replicate functionality and maintenance going forward

#### Ongoing issues to resolve...

- Copyright questions
- Security needs are higher for government
  - **MUST** be FedRAMP or "Federal Risk and Authorization Management Program" compliant

#### **Progress update at Fall DLC**





## Shipments

#### Status of FDLP reopening

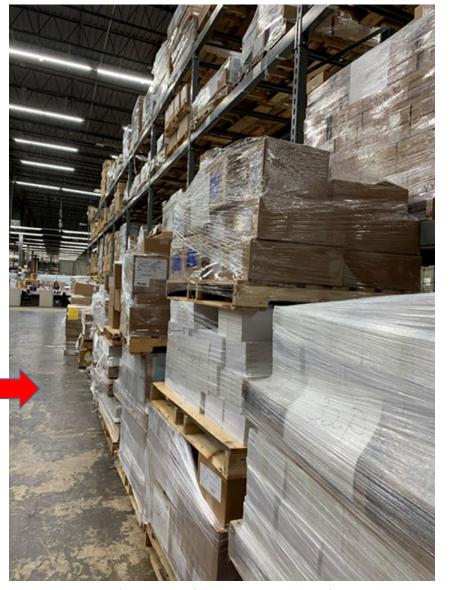
- 1034 libraries accepting shipments
- 80 libraries cannot receive boxes
  - Need libraries to fill out <u>Library Status Form</u> as they reopen and can accept shipments

#### **Backlog at Laurel**

- Staff continue to send out held boxes from 2020
- Work progressing on processing backlogged publications for boxes

#### **Shipping Lists**

- Shipping lists for boxes and separates are being posted to FDLP.gov
- 2021



Laurel Distribution warehouse



### Claims

- Claim material using the askGPO Claims form at <u>https://ask.gpo.gov/</u>
- Claims may be made for material on shipping lists 2020-0250-P and forward.
  - Material from 2020 is no longer available except for Separates 27-S to 31-S
  - Libraries have to submit claim form to receive material
- Only claim missing or damaged material on shipping lists that you have received in your boxes.
- Only claim material that your library selects or profiles for.





### Separates

- To receive separates, libraries must first resume shipments by completing the Library Status form.
- All libraries **must file a claim** in order to receive 2020 separates
  - Review separates shipping lists in your shipment boxes for material listed in your library's selection profile
- Libraries that have resumed shipments will get 2021 separates as normal
- Libraries that have not yet resumed their shipments will have to file a claim for 2021 separates when their shipments are resumed





## Communication with GPO about shipments

### **Tips**

- Make sure your FDL directory information is up-to-date
- Login to your account on the askGPO portal to make changes <a href="https://ask.gpo.gov/">https://ask.gpo.gov/</a>



SOD-DGD-1-2021 Federal Depository Libraries Relinquishing their Designation

Strategic Disposition of Depository Materials in Support of the National Collection

August 20, 2021

Implementation Project REGIL Presentation

Abby McDermott, Collection Management Librarian, LSCM, GPO



## SOD-DGD-1-2021

- Initially released to the community and went into effect June 15, 2020
- LSCM implementation project team formed at the same time, led by Abby McDermott (Collection Management Librarian) and Jaime Hays (Outreach Librarian)
- Focus group with regional depository coordinators held in Oct. 2020
- Disposition guidance revised based on:
  - ✓ Draft procedures from the implementation project team
  - ✓ Input from regional depository coordinators
  - ✓ Public comment period, May and June 2021
- Final, revised version of SOD-DGD-1-2021 went into effect Aug. 16, 2021



# Preparation for the Drop Call

- The Outreach Librarian communicating with the library that is considering leaving the FDLP will **alert the designated regional(s) via email** as soon as relinquishing FDLP status discussions begin.
- The drop call includes: exiting library staff (coordinator, director), regional coordinator(s), an LSCM Outreach Librarian, and an LSCM Collection Development Librarian.
- The **drop call** is crucial, as it is the first opportunity to **assess** both the situation at the library, and the library's tangible FDLP collection.
- While developing these processes, LSCM staff actually **scheduled calls with designated regional(s)** to discuss the new disposition guidance and seek out their perspective **before arranging each drop call**.



# Early Outreach and Review of Options

#### Considering Leaving the FDLP?





The Federal Depository Library Program (FDLP) has a long tradition of serving the public with the documents of democracy. Providing free access to official Federal information demonstrates your library's commitment to a core democratic value. The FDLP enhances your library's prestige and credibility with your patrons. Libraries participating in the FDLP are truly valued by GPO for the exceptional service they bring to the public across the United States.

If your library is considering leaving the FDLP, GPO Outreach Librarians would like the opportunity to speak with you about the flexibility afforded to FDLP libraries. We can help you customize participation to fit your library's individual needs. Contact us at <a href="mailto:FDLPOutreach@gpo.gov">FDLPOutreach@gpo.gov</a>.

#### Things to know before you go:

- Transitioning to an all-digital depository is quick and easy. Outreach Librarians will work with you to remove tangible item numbers from your item selection profile and enhance access to online Federal resources.
- Going all-digital stops all incoming tangible materials. Library staff will no longer need to process physical materials from GPO.
- Through GPO's <u>Cataloging Record</u> <u>Distribution Program</u> (CRDP), you can receive free bibliographic records for digital Government publications.



LSCM's Federal Depository Support Services (FDSS) will continue to encourage depositories that are considering leaving the FDLP to remain by providing customized recommendations to address the challenges the library is facing.

If the library decides to **stay in the FDLP**, the disposition guidance does not go into effect.

Ideally, the library will still choose to offer nationally when they regularly weed their FDLP material.

Only a **decision to leave the FDLP** moves the library forward in this workflow.





# Official Drop Letter from FDL to SuDoc

- If not previously received, the Outreach Librarian requests an official **drop** letter addressed to the Superintendent of Documents, once the library has committed to leave the FDLP.
- If the library was **congressionally designated**, the Outreach Librarian will also provide the library with a letter template to so they can alert their designated Member of Congress.









# Collection Review Procedure Options

After the Drop Call, LSCM staff recommend 1 of 4 collection review procedure options for that specific tangible FDLP collection:

- 1. Baseline Procedures: requires national offers via FDLP eXchange
- 2. Expedited Procedures: emergency scenario, does not require offers via FDLP eXchange
- 3. Contingency Procedures: emergency scenario, mediated offers of at-risk FDLP material via FDLP eXchange
- 4. Onsite Collection Review: low-rate of cataloging, may be combined with (or precede) another option



# The Drop Briefing – Internal to LSCM

- The **Drop Briefing** is completed by the Outreach Librarian with input from the Collection Development Librarian.
- It specifies the recommended collection review procedure option for the drop.
- The drop briefing must be approved by the Chief of FDSS and the LSCM Managing Director before the Collection Disposition
   Plan is drafted.

Option X (XXXXXX) is recommended by the Outreach Librarian [	NAME] and the Collection Development	
ibrarian [NAME]		
Option X (XXXXXX) is recommended by the designated regional regional regional regional regional regional regional depository library name and FDL#].	depository coordinator [NAME] at	
Final Determination	n	
☐ Yes, approve GPO staff recommendation as indicate	ad above	
Tes, approve Gro stan recommendation as indicate	ed above	
or		
☐ No, process the drop as:		
☐ Option 1: Standard Collection Review Pro	ocedure (Baseline)	
Option 2: Expedited Collection Review Procedure (Expedited)		
Option 3: At-Risk FDLP Collection Review Procedure (Contingency Plan)		
☐ Option 4: Onsite Collection Review Procedure		
Chief, Federal Depository Support Services	Date	
chier, rederal Depository Support Services	Date	





# The Collection Disposition Plan – External

- The Collection Disposition Plan is specific to each drop, expands on the collection review option approved in the Drop Briefing, and respects and builds on regular discard review procedures in the region.
- The **Collection Disposition Plan** is drafted collaboratively with the designated regional coordinator(s), with input from the relinquishing depository library director, relies heavily on the information gathered during the drop call, and from further consultation with the regional depository coordinator(s).
- The Collection Disposition Plan must be approved by:
- Relinquishing Library Director
- Regional Coordinator(s)
- All LSCM Managers
- Superintendent of Documents

.3 Collection	ı Overview	and His	story

₽.		
TABLE 2: XXXXXX FDLP COLLECTION SUMMARY		
Amount cataloged:		
Cataloging method:		
Classification used:		
Collection location(s):		
Depository statistics		
Available:		
Size of Collection:		
Selection Percentage:		
Nearest depositories:		

Significance of depository designation date in relation to the region and the National Collection; significance of the collection to the region, including any material that documents local history or Federal government offices/activity in the area; history of collection management, including approximate dates and extent of any past or ongoing weeding projects; and a general collection assessment from GPO staff working the drop.

#### 2. Collection Review Decisions

#### 2.1 Disposition Plan and National Offers for Tangible FDLP Material

TABLE 3: EXCEPTIONS TO FDLP EXCHANGE OFFERS FOR THIEL COLLEGE LANGENHEIM MEMORIAL LIBRARY (0510A)		
Format All just examples		
Date period		
Other		

Spell out clearly, including an Exceptions table, what must be listed and offered, and what can be discarded without review. Also address if national offers are required in this situation, and if there will be any exception to the requirement to use FDLP exchange.

The statement below must appear at the start of this section (2.1) in every Collection Disposition Plan.

This Collection Disposition Plan is based off the information available at the time of its approval that describes the nature and extent of this relinquished FDLP collection. While a list of identified high-

Library Name (0001)

5



# **Monitoring Disposition**

- The Collection Development Librarian monitors the disposition of the relinquishing depository's collection, following the Collection
   Disposition Plan.
- All phases of the disposition process are stated in the Collection Disposition Plan:
  - □ Phase 1: Designated regional depositor(ies) review
  - ☐ Phase 2: Offers to selectives in that state/region
  - ☐ Phase 3: National offers, including offers to LSCM
- LSCM is committed to following established discard review procedures in each region of the FDLP for Phase 1 offers (regional review) and Phase 2 offers (selectives in the region) for relinquished FDLP material.



# National Offers via



# FDLP eXchange

- In most circumstances, LSCM wants to see national offers of FDLP material from relinquishing depositories made via FDLP eXchange
- Exceptions to that requirement will be written into the **Collection Disposition** Plan.
- All depositories are encouraged to input their FDLP collection development needs into FDLP eXchange.
- LSCM inputs current digitization needs into FDLP eXchange, and Collection Development & Management reviews all offers in the system on a regular basis to identify material for digitation and ingest into govinfo.
- This disposition guidance aims to support the National Collection by offering FDLP tangible material from relinquishing depositories in a fair and equal manner to all remaining depositories throughout the FDLP.



# Final Steps to Leave the FDLP

After the disposition process is complete, FDSS drafts the following letters:

- Letter to Director from the Superintendent of Documents, which may also authorize the former depository to keep requested FDLP material
- Letter from GPO to Member(s) of Congress
   \*for congressionally-designated depositories

All disposition documentation is filed in the library's official file, and maintained by LSCM.





## Disposition Process Education and Outreach

- Final standard operating procedures will be submitted for LSCM managers approval in late August 2021.
- We have launched **outreach and education campaign** about this new disposition guidance, and revised procedures to leave the FDLP:
  - ✓ Revised *Leaving the FDLP* guidance article
  - ✓ FDLP Academy webinar: *We the People's Collection The National Collection of U.S. Government Public Information*, Wednesday, August 25, 2021 (2:00 p.m. EDT)
  - ✓ FDLP Academy webinar: *Strategic Disposition to Support the National Collection*, Thursday, August 26, 2021 (2:00 p.m. EDT)
  - ✓ LSCM Update at Fall 2021 Depository Library Conference



## FDLP eXchange Update

- Met with regions considering adoption, provided demos and discussed options
- Working with regions to provide virtual training sessions
- Software migration coming
- Reminder to check the regional review period and selective review period for your region GPO reset these to allow more time during pandemic, may need adjustment



### PURL DNSSEC Corrections Update

#### What is DNSSEC?

- Domain Name System Security Extensions
- A network security protocol rolled out in 2020 and used by internet service providers

#### How does DNSSEC work?

- It protects the end user by requiring security validation from the host server
- The user is blocked if the host server can't provide validation information



## PURL DNSSEC Corrections Update – ctd.

#### How did DNSSEC affect GPO and PURLs?

- GPO has several generations of PURL domains
- Newer PURL content is on servers that can provide the required validation
- Older PURLs were on retired domains that could not provide the security validation (though the PURL still worked)

### GPO had to update lots of PURLs

- About 191,129 PURLs in 170,501 CGP records were updated
- The PURL links themselves changed (unusual)
- For example: permanent.access.gpo.gov became permanent.fdlp.gov



## PURL DNSSEC Corrections Update – ctd.

- How did GPO's CGP updates affect depository libraries?
  - For the affected PURLs:
    - Libraries have to update their catalog records
    - Libraries have to update PURLs embedded outside of catalog records (for example, in LibGuides)
    - Will affect mostly older PURLs using retired domains.
       Includes PURLs on older multi-format records.



## PURL DNSSEC Corrections Update – ctd.

- Records from vendors and the CRDP
  - Libraries who get records from vendors or the CRDP will have noticed regular bulk updates
  - They came in batches to be more manageable, but still contained large numbers of records
  - CRDP libraries had a chance to opt in to changed records to receive these PURL updates over 10 months (8/2020 5/2021)
  - The large record loads should be complete by now



## askGPO Implementation Update

- New <u>askGPO</u> site launched August, 2020
  - ∘ 7,000+ inquiries this year!
  - o Approximately 5,000 from FDLP and 2,000 from general public
- New <u>Federal Depository Library Directory</u> site within askGPO launched July 2021
- User manual and video tutorials available at <a href="https://www.fdlp.gov/askgpo-training">https://www.fdlp.gov/askgpo-training</a>
- Periodic webinars with updates continue
- Enhancements continue and feedback is welcome!





Think of a question later, contact us through <u>askGPO!</u>